JC10 Rec'd PCT/PTO: 22 1 11/170/1907 ev. 02-2005) U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 2245.054

U.S. APPLICAT CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PCT/GB2004/000198 PRIORITY DATE January 23, 2003 January 21, 2004 TITLE OF INVENTION IMMUNOMODULATORY COMPOSITIONS APPLICANT(S) FOR DO/EO/US Watson, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1.

This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 3. □ The US has been elected (Article 31). Ø 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). a. b. LJ has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C. 6. An English language translation of the International Application as filed (35 U.S.C. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🗆 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14.

☐ An application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC14 Rec'd PCT/PTO 22 JUL 2005

Approved for use through 3/31/2007. OMB 0651-0021

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U.S. APPLICATION	•		R INTERNATIONAL	INTERNATIONAL APPLICATION NO. PCT/GB2004/000198			ATTORNEY'S DOCKET NUMBER 2245.054		
10	166·43	J14	PCT/GB20						
The following fees are submitted:						CA	LCULATIONS	PTO USE ONLY	
21. 🗵 Basic national fee						\$	\$300.00		
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)						\$	\$200.00		
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority							\$500.00		
All other situations						s	\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							\$1,000.00		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole		e	RATE				
63 - 100 =	0 /50 =		0		x \$250.00	\$	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$			
CLAIMS NUMBER FILED			NUMBER EXTRA		RATE				
Total claims 19		- 20 =	0	x	\$50.00	\$	\$0.00		
Independent claims 3		- 3 =	0	х	\$200.00	\$	\$0.00		
Multiple Dependent Claims (if applicable)						\$ \$			
TOTAL OF ABOVE CALCULATIONS =							\$1,000.00		
						\$	\$500.00		
SUBTOTAL =						_\$	\$500.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$			
TOTAL NATIONAL FEE =						\$	\$500.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +						\$			
TOTAL FEES ENCLOSED =							\$500.00		
							Amount to be refunded:		
							Amount to be charged:		
a. 🔀 A check in the amount of \$500.00 to cover the above fees is enclosed.									
b. Please charge my Deposit Account in the amount of						to cover the above fees.			
A duplicate copy of this sheet is enclosed. c. 🗵 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment									
to Deposit Account A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Philip E. Hansen							The	<u></u>	
Heslin Rothenberg Farley & Mesiti, P.C. 5 Columbia Circle SIGNATURE SIGNATURE							i no		
Albany, New York 12203 Phone: (518) 452 5600							Dhilin F Honser		
Phone: (518) 452-5600 Fax: (518) 452-5579 NAME						Philip E. Hansen			
July 22, 2005							32,700		
REGISTRAT							TION NUMBER		

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Applicant: Watson, et al.

Title: IMMUNOMODULATORY COMPOSITIONS

Attorney Docket No.: 2245.054

"EXPRESS MAIL" Mailing Label No.: EV 607364474 US

Date of Deposit: July 22, 2005

Enclosed are:

> One (1) Acknowledgment Postcard

- > Certificate of Express Mailing Label No.: EV 607364474 US
- > Transmittal Letter under 35 U.S.C. 371 (2 pps)
- > Check in the amount of \$500.00 (Sm Entity Filing Fee)
- > Preliminary Amendment (10 pps) including Abstract
- > Copy of International Application as filed (63 pps, includes 2 sheets of drawings)
- > Application Data Sheet (3 pps)
- > Information Disclosure Citation (1 page) w/8 references

37 CFR 1.10 Certification

I hereby certify that this paper and the indicated enclosures are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

<u>Darlene Novak</u>
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)